

# CORNERSTONE

## Design guidelines for Ge-on-Si MPW #4 – February 2024

[Sign-up deadline – Friday 29<sup>th</sup> March 2024](#)

[Mask submission deadline – Wednesday 24<sup>th</sup> April 2024](#)

### 1 Terms & conditions and cost

All design submissions must agree with the terms and conditions:

[www.cornerstone.sotonfab.co.uk/terms-and-conditions](http://www.cornerstone.sotonfab.co.uk/terms-and-conditions)

Under no circumstances will we accept designs without agreement with the terms.

Therefore, we strongly recommend that the terms and conditions are pre-authorized by your institution prior to the mask submission date.

A purchase order (PO) must be uploaded at the same time as submitting your mask design in order to pay the access fee, detailed in Table 1 below. Purchase orders will not be accepted via email.

*Table 1 – Access cost and Delivery Time.*

Design Area	Access Cost * (Standard)	Access Cost * (Priority)
11.47 x 15.45 mm <sup>2</sup>	£11,000	£13,750
<b>Delivery Time**</b>	10 weeks	5 weeks

\*Quoted prices are exclusive of VAT, import duties/customs fees, withholding taxes etc.

\*\*The indicated delivery time is subject to possible delays that may occur due to scheduled cleanroom maintenance or unforeseen shutdown periods. Users will receive timely notifications regarding any such occurrences.

Priority batches are designed to accelerate delivery times by utilizing expedited services for obtaining reticles, prioritizing access to cleanroom tools, working out-of-hours, and simplifying intermittent quality checks during the fabrication process, instead relying on the inherent repeatability of the lithography and etching processes. Additionally, the submitted layouts will not undergo further inspection against design rules after the submission deadline. Consequently, users opting for the priority option are required to submit designs that pass the Design Rule Check (DRC) on or before the submission deadline. The CORNERSTONE team would be grateful for the opportunity to work with you prior to the submission deadline to ensure your designs pass DRC. For more information, please visit our website: [www.cornerstone.sotonfab.co.uk/design-rules](http://www.cornerstone.sotonfab.co.uk/design-rules)

The anticipated timeline for priority batches, from the submission of the mask to the shipping date, will be reduced to 5 weeks. Meanwhile, the standard delivery timeline remains unchanged at 10 weeks.

For information about setting up CORNERSTONE as a supplier to your institution, please contact [cornerstone@soton.ac.uk](mailto:cornerstone@soton.ac.uk).

Section 7 details the design submission process in more detail.

## 2 Changes from previous Ge-on-Si MPW call

- *L-edit (.tdb) file for design rule check replaced by a Klayout script.*

## 3 Process design kit

For the Ge-on-Si platform which is designed to support a wide range of wavelengths in the mid-IR (~2-14  $\mu\text{m}$ ), there is not yet a detailed PDK of components because there is no clear choice in wavelength range to choose for the PDK components.

Therefore, the GDSII template file only contains the outline of the cell and the layer descriptions at this time.

## 4 Process flow

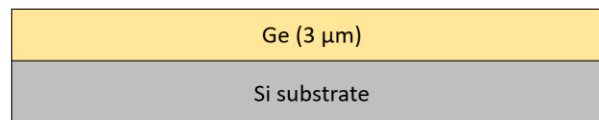
For this call, the patterns will be processed on a single-side polished silicon wafer, with the following nominal parameters:

- Crystalline silicon (Si) substrate
- Crystalline germanium (Ge) core layer (100)-oriented with a thickness  $h_{\text{wg}} = 3 \mu\text{m} \pm 200 \text{ nm}$

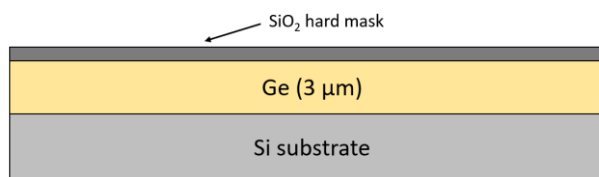
We will offer one germanium etch process: 1) a partial germanium etch of 1.8  $\mu\text{m}$ , followed by a dicing process to form optical facets for edge couplers.

The schematic description of the process flow is given below:

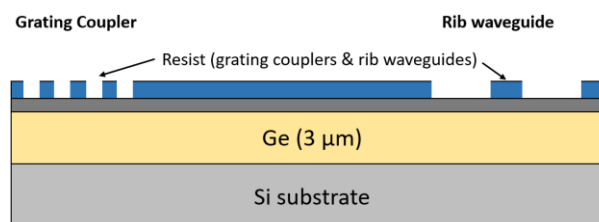
1. Starting Ge-on-Si substrate



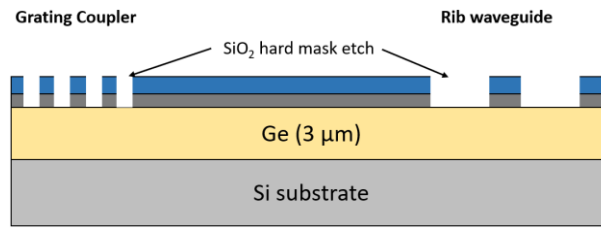
2. Hard mask ( $\text{SiO}_2$ ) deposition – 400nm



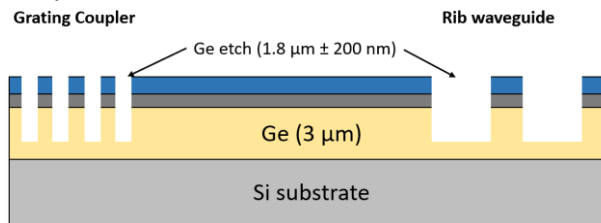
3. Resist patterning for grating couplers and waveguides – GDS layer 304



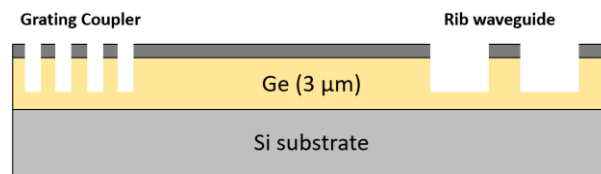
4. Hard mask etch



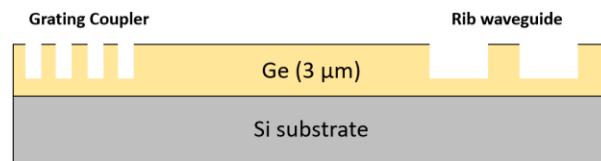
5. Partial Ge etch –  $1.8 \mu\text{m} \pm 200 \text{ nm}$



6. Resist strip



7. Hard mask HF etch



8. Facet preparation by dicing

If you require any alternative processing steps (e.g. custom etch depths), we may be able to perform them for an additional charge. Email [cornerstone@soton.ac.uk](mailto:cornerstone@soton.ac.uk) with your request.

## 5 Design rules

It is important that designs conform to the following design rules to ensure correct processing.

### 5.1 Design area

The standard user cell has dimensions of  $11.47 \times 15.45 \text{ mm}^2$ , as shown in Figure 1.

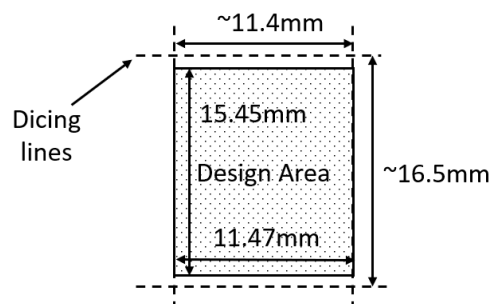


Figure 1: Dicing process and physical die dimensions after dicing.

The east and west facets will be diced to produce optical facets for edge coupling. Therefore, if you require edge couplers, ensure that your waveguides extend fully into the bleed region defined as a 35  $\mu\text{m}$  strip at the edge of the east and west edges (see Section 5.2). As this region will be diced, ensure that no other devices extend into this region.

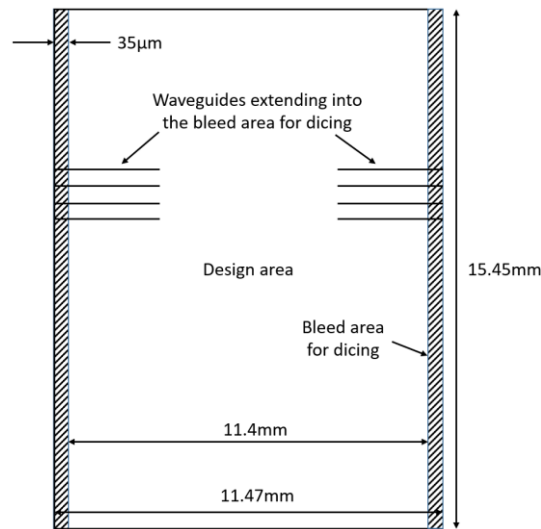


Figure 2: Bleed area for the dicing process for edge couplers.

## 5.2 GDS layers

Each lithographic step in the fabrication process flow is identified by a specific GDS layer/s. These are as follows:

Germanium Etch 1 (Waveguide layer) – GDS Layer 303 (Light field) & GDS Layer 304 (Dark field) – etch depth:  $1.8 \mu\text{m} \pm 200 \text{ nm}$ .

This layer defines waveguides and is split into two separate GDS layer numbers, patterned into the same resist and etched together:

GDS Layer 303: Drawn objects on this layer will be protected from the germanium etch. Users should draw the waveguides and any other features to remain following 1.8  $\mu\text{m}$  germanium etching. During fracturing processing, this will be translated into a pattern that defines 20  $\mu\text{m}$  wide trenches on either side of the waveguides drawn in GDS layer 303 (see Figure 3).

If you require waveguide trenches that are a different width, refer to the guidelines for generating the trenches in Section 8. You can complete these steps yourself and modify the growth function dimension in step 1.

User provides:



Waveguide drawn in GDS layer 303

Etched pattern after processing:

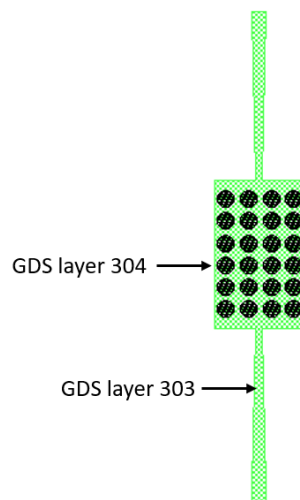


Processed to etch 20 µm trench around GDS layer 303 (performed by CORNERSTONE)

Figure 3 - Description of GDS Layer 303 processing.

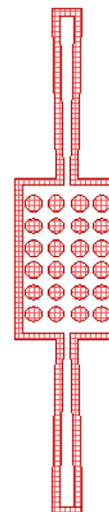
GDS Layer 304: Drawn objects on this layer will be exposed to the 1.8 µm germanium etch. An example photonic crystal structure is shown in Figure 4. The important thing to note here is that the waveguide layer drawn in GDS layer 303 should overlap the structures drawn in GDS layer 304, so that when the 20 µm trenches are generated by CORNERSTONE, a continuous waveguide remains.

User provides:



Waveguide drawn in GDS layer 303 (green) + photonic crystal drawn in GDS layer 304 (black)

Etched pattern after processing:



Processed to etch 20 µm trench around GDS layer 303 (performed by CORNERSTONE). GDS layer 304 etched as drawn

Figure 4 – Example photonic crystal structure using GDS Layers 303 & 304.

Bleed area – GDS Layer 98

This layer defines the bleed area that will be diced on the east and west facets, as shown in Figure 2.

### Cell Outline – GDS Layer 99

This layer defines the design space boundaries (11.47 x 15.45 mm<sup>2</sup>).

### Labels – GDS Layer 100

This layer defines text labels, which will be merged with Germanium Etch 1 (Waveguides) by the CORNERSTONE team.

*Note:* You do not need to add fabrication alignment marks to your design. Layer-to-layer alignment marks will be added by the CORNERSTONE team, placed outside the design area.

### 5.3 Minimum feature sizes, target critical dimensions and other design rules

- Minimum feature sizes, minimum gaps, and maximum feature widths for each GDS layer are detailed in Table 2.
- The target critical dimension for each GDS layer is listed in Table 2. Note that other feature sizes may have a small dimensional bias.

### 5.4 Design rules summary

A summary of the design rules and GDS layer numbers described in this section is detailed in Table 2 below.

*Table 2 – Design rules summary.*

Layer description	GDS number	Field	Min. feature size	Min. gap	Target lithography critical dimension
Germanium Etch 1 (1.8 μm ± 200 nm)	303	Light	700 nm	700 nm	700 nm
	304	Dark	700 nm	700 nm	
Bleed area	98	N/a	N/a	N/a	N/a
Cell Outline	99	N/a	N/a	N/a	N/a
Labels*	100	Dark	700 nm	700 nm	N/a

\*Features drawn in the Labels layer will be merged into Germanium Etch 1 by the CORNERSTONE team.

In order to help you ensure that you comply with the design rules, you can execute the KLayout pre-design rule check (DRC) script provided by CORNERSTONE. You can run to automatically find any design rule violations (note that the automatic DRC will not check all of the design rules, so it remains very important to read the design rules in detail). Download the DRC script with the instruction on [www.cornerstone.sotonfab.co.uk/design-rules](http://www.cornerstone.sotonfab.co.uk/design-rules)

For users choosing the Priority option, it is essential to provide DRC-free mask layouts on or before the submission deadline. Therefore, users planning to initiate a priority batch should run the KLayout Pre-DRC script and confirm that the submitted design is free of design rule issues before the submission deadline. The CORNERSTONE team will not undertake post-submission DRC for Priority Access users to shorten the delivery timeline.

MPW users will have an opportunity to attend 1-to-1 Drop-in Session to pre-review mask layouts before the submission deadline, using the [link](#) to book a 20-min session.

## 5.5 File format

Designs must be submitted in a Graphical Database System file format (extension *.gdsII*) or Open Artwork System Interchange Standard (extension *.oas*) format. Ensure a manufacturing grid size of 1 nm is used.

We recommend dedicated lithography editing software be used in the design of the *.gdsII* file or *.oas* file.

## 5.6 GDSII template file

A *.gdsII* template file has been made available containing the information described in this section. Ensure that all submitted designs fit within the specified area, and that only the designated GDS layer numbers are used.

## 6 Quality assessment

This fabrication run will be qualified by characterising a standard test pattern that is included on the chip (not part of the user cell). The test structures that will be checked after fabrication are reported in Table 3 below, together with the values that are targeted by the CORNERSTONE platform.

Table 3 – Quality assessment parameters.

Test structure	Parameter	Value
Straight single mode rib waveguide	Propagation loss	< 5 dB/cm for TE mode at $\lambda = 3.8 \mu\text{m}$

## 7 Mask submission procedure

In order to be eligible to submit a design you must first sign-up to this call using the online form found using the link below. This is in order to enable us to prepare the necessary paperwork and plan the fabrication process effectively. The sign-up deadline is found at the top of this document.

[www.cornerstone.sotonfab.co.uk/home/mpw-sign-up-form](http://www.cornerstone.sotonfab.co.uk/home/mpw-sign-up-form)

Under no circumstances will we accept any design submissions for which we have not received a sign-up form.

After completing the sign-up form, when you are ready to submit your mask design on or before the mask submission deadline listed at the top of this document, follow the link below to the CORNERSTONE website mask submission page:

[www.cornerstone.sotonfab.co.uk/gds-file-upload](http://www.cornerstone.sotonfab.co.uk/gds-file-upload)

A purchase order (PO) must be uploaded to this form to pay the access fee. Purchase orders will not be accepted via email.

After completion of the mask submission form, you will be emailed instructions on how to share your design file with the CORNERSTONE team. Ensure that the top cell in your design file is titled 'Cell0\_[Name of Institution]'.

For information about setting up CORNERSTONE as a supplier to your institution, please contact [cornerstone@soton.ac.uk](mailto:cornerstone@soton.ac.uk).

## 8 Mask processing performed by CORNERSTONE

Upon receipt of your *.gdsII* file, the CORNERSTONE team will perform the following mask processing steps in order to produce the final mask, based on the descriptions provided in Section 5.2:

*Germanium Etch 1 (Waveguide layer) – GDS Layer 303 (Light field) & GDS Layer 304 (Dark field) – etch depth: 1.8  $\mu\text{m} \pm 200$  nm:*

1. Grow Waveguide Etch layer (GDS layer 303) by 20  $\mu\text{m}$  in all directions.
2. Subtract the Waveguide Etch layer (GDS layer 303) from the output of (1) to produce the etch trenches around the drawn waveguides.
3. Merge the output of (2) with the dark field Waveguide Etch layer (GDS layer 304) and the Labels layer (GDS layer 100).

## 9 Technical support

If you have any questions relating to the fabrication process or design rules, please contact the CORNERSTONE team ([cornerstone@soton.ac.uk](mailto:cornerstone@soton.ac.uk)).

## 10 Device delivery

A total of 5 replica cells will be delivered to each user and the delivery time schedule can be found in Table 1.

## 11 Feedback

Feedback is essential to the CORNERSTONE team. It is required to ensure a continuous improvement to the quality of our services. It is also evidence on the user satisfaction, and a measure to what extent we were able to meet user requirements. Therefore, we kindly ask our users to provide feedback to us, including device performance data, SEM images, future interests for the CORNERSTONE project etc. A feedback form will be sent to you along with your chips.

Alternatively, email [cornerstone@soton.ac.uk](mailto:cornerstone@soton.ac.uk) with your comments.

## 12 Publication

Please include CORNERSTONE in the acknowledgments section of any publications that result from the chips you receive from CORNERSTONE.